



C759-DC-CU-19 EN

NOVA AUSTRAL S.A.

Av. Presidente Carlos Ibañez Del Campo #07200 Lote A2-1

Chile

From | **CONTROL UNION PERU SAC**

Subject | **ASC Certificate Suspension**

Date | **Lima, July 12th, 2019**

Mr. Francisco Miranda,

In response to your communication of July 12th, 2019 and in relation to the last events that have occurred, which have exposed the company you represent, accepting the irregularities related to the information provided to SERNAPESCA Chilean authority; Control Union Peru (CUP) has decided to suspend the certification of the operations involved in the complaints, considering that your system does not provide guarantees of compliance with the agreements established with CUP and requirements of ASC standard under which your company is certified.

In addition, the other facilities are also suspended, due to the company operates under the same integrated management system. The facilities that are under suspension are listed below:

- NOVA AUSTRAL S.A._Aracena 1; CUP-C855038-ASC-01-2018-SL
- NOVA AUSTRAL S.A._Aracena 6; CUP-C855058-ASC-01-2018-SL
- NOVA AUSTRAL S.A._Aracena 5; CUP-C855059-ASC-01-2018-SL
- NOVA AUSTRAL S.A._Aracena 4; CUP-C855060-ASC-01-2018-SL
- NOVA AUSTRAL S.A._Aracena 9; CUP-C855578-ASC-01-2018-SL
- NOVA AUSTRAL S.A._Cockburn 14; CUP-C855579-ASC-01-2018-SL
- NOVA AUSTRAL S.A._Aracena 12; CUP-C857874-ASC-01-2018-SL
- NOVA AUSTRAL S.A._Aracena 14; CUP-C858144-ASC-01-2018-SL
- NOVA AUSTRAL S.A._Cockburn 3; CUP-C862853-ASC-01-2019-SL
- NOVA AUSTRAL S.A._Aracena 2; CUP-C862951-ASC-01-2019-SL.Rev.1
- NOVA AUSTRAL S.A._Cockburn 13; CUP-C864030-ASC-01-2019- SL
- NOVA AUSTRAL S.A._Aracena 03; CUP-C864033-ASC-01-2019-SL
- NOVA AUSTRAL S.A._Aracena 10; CUP-C864172-ASC-01-2019- SL
- NOVA AUSTRAL S.A._Aracena 15; CUP-C864173-ASC-01-2019- SL
- NOVA AUSTRAL S.A._Aracena 19; CUP-C864174-ASC-01-2019- SL
- NOVA AUSTRAL S.A._Cockburn 23; CUP-C864295-ASC-01-2019- SL

The suspension period will start on July 12th, 2019 and remain for at least four (04) months.

To lift the suspension, you must submit a very strong documented corrective action plan which must be approved by CUP, within 60 days from July 12th, 2019. If more time is required, please send us a request. The corrective action plan shall include a deadline for implementing the established measures.

In case that the corrective action plan is not approved within 60 days, CUP will withdraw the certificates.

During the suspension period, CUP will perform the necessary investigations which may include announced and/or unannounced audits. If the verification of the effectiveness of the corrective actions cannot be concluded within the established deadline, CUP will withdraw the certificates.

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During the suspension period you are not allowed to transfer your certifications to any other CAB.
Suspension of your certificates have the following implications:

- You shall not sell any product harvested from the date of suspension as ASC certified,
- You shall immediately cease to make any use of ASC logo,
- You shall advise existing or potential customers in writing of the suspension within four (04) calendar days of the suspension date.
- You shall no sell any products that you have previously labelled or marked using the trademark,
- You shall not make any claims that imply that you comply with the requirements for certification.

We hope to have informed you sufficiently.



Midori Kawano
Certifier
Control Unión Perú SAC