



ASC CoC MODULE

Table of Proposed Changes to Scheme Documents

Additions to Default CoC Standard v5.0

(also applicable to Group v2.0 and CFO v2.0 CoC Standard versions)

Section	Topic	Applicability
Eligibility & Guidance	GFSI pre-requisite	Sites that do processing, contract processing, packing/repacking
Eligibility	Ineligibility due to risk of damage to ASC integrity or reputation	All certificates
1.1.1 & Guidance	First buyers to check no gap in CoC from farm to first buyer	Certificate holders that buy direct from farms
1.1.2 & Guidance	If certified CoC is broken products cannot be sold as certified	Certificate holders that buy direct from farms (tied to 1.1.1)
4.4.2 & Guidance	Must hold records for converted products for investigations	All certificates
4.4.3 & Guidance	Submission of data to ASC (KDE)	All certificates
5.2.1.1	Inform CAB within 2 days if GFSI becomes invalid	Sites that do processing, contract processing, packing/repacking
5.4.2	Non-conforming product requirements must be followed	All certificates
5.5.2.1	Collection of non-certified samples for verification of conformity and compliance	All certificates
5.8	Specific requirements for ASC CoC	All certificates
5.8.1	Commitment to avoid fraud	All certificates
5.8.1(a) & Guidance	Fraud vulnerability assessment and intervention plan	All certificates
5.8.1(b)	Declaration of court cases in past 24 months	All certificates
5.8.1(c)	Procedure to advise CAB in 2 days if court case arises	All certificates
5.8.2	Organisation and certified products are compliant and conforming	All certificates
5.8.2(a) & Guidance	Organisation is compliant and conforming	All certificates



5.8.2(b) & Guidance	Procedure to inform CAB within 2 days of non-compliance or non-conformance	All certificates
5.8.2(c) & Guidance	Certified products must be compliant and conforming	All certificates
5.8.2(d) & Guidance	System to ensure product conformance at all times	All certificates

Additions to CoC Certification Requirements v3.1

Section	Topic	Applicability
5.1.1(c) & Guidance	Rotation of auditors every 3 years	All certificates
6.2.8(c) & Guidance	CAB may refuse certification due to risk of damage to ASC integrity or reputation	All certificates
6.2.8.1 & Guidance	CAB must refuse certification of companies that meet ASC ineligibility criteria	All certificates
6.3.1(e)	CAB to require declaration of court cases within past 24 months	All certificates
6.3.1.1	CAB to inform client of requirement for procedure to notify CAB in 2 days of relevant court case or serious allegation arising	All certificates
6.3.3.2 & Guidance	CAB to check starting point of CoC on confirm no gap	Certificate holders that buy direct from farms
6.3.3.3	CAB to determine products affected by a CoC gap shall not be sold as certified until the gap is covered	Certificate holders that buy direct from farms (tied to 6.3.3.2)
7.1.1.1	CABs shall enter audit dates in database 30 days in advance, or within 2 days of audit confirmation	All certificates
7.1.7.2	Auditor to confirm continuous GFSI certification throughout certificate period	Sites that do processing, contract processing, packing/repacking
8.2.17.1 & Guidance	CAB to confirm organisation has fraud vulnerability assessment procedure and intervention plan	Single, multi-site, group certificates
8.3.18.1 & Guidance	CAB to confirm organisation has fraud vulnerability assessment procedure and intervention plan (for CFOs)	CFOs
11.2.2.1	When new supplier is added, 6.3.3.2 & 6.3.3.3 apply	Certificate holders that buy direct from farms



11.2.5(e) Guidance	Fist audit against the CoC Module can be treated as a scope extension	All certificates
11.3.3	Unannounced audits on 10% of ASC CoC clients each year	All certificates
11.3.3.1	Clients selected for unannounced audits on risk basis provided by ASC	All certificates
11.3.3.2	CAB to do product sampling at ASC unannounced audits	All certificates
11.3.9.1 & Guidance	Action to be taken if CAB becomes aware of non-conformity or non-compliance at any time in certificate cycle	All certificates
11.3.9.2 & Guidance	CAB required to investigate and take action if relevant court case or serious allegation arises	All certificates
11.3.9.3	CAB to issue NC and notify ASC in 5 days if LLA requirements not met	All certificates
11.3.9.4	CAB to comply with requests to collect samples for verification testing	All certificates

Additions to General Certification Requirements v2.4.1

Section	Topic	Applicability
4.8.6(g)	Contract to include client agreement to allow samples to be taken for verification testing	All certificates
4.8.6(h) & Guidance	Contract to state CAB must refuse certification of companies that meet ASC ineligibility criteria	All certificates
4.8.6(i)	Contract to state client is required to submit data to ASC (KDE)	All certificates
7.4.9(j) & Guidance	CAB to suspend CoC in 2 days when LLA suspended or terminated due to compliance issues	All certificates
7.4.9(k)	CAB to suspend CoC when CAB or ASC determines organisation poses risk of damage to ASC integrity or reputation or if they meet ASC ineligibility criteria	All certificates
7.4.9(l)	CAB to suspend CoC if GFSI becomes invalid during certificate period	Sites that do processing, contract processing, packing/repacking
7.4.9.1	CAB may suspend CoC if LLA suspended or terminated due to repeated administration issues	All certificates



Additions to MSC-MSCI Vocabulary v1.3

Term	Explanation
ASC certified product	Products that: originate from ASC certified farm(s), and are compliant and in conformance, and are identified as certified
ASC non-conforming product	Products that: are identified as certified but are not proven to be from ASC certified farm(s). or are not compliant and in conformance. Are considered non-certified and shall not be sold as certified
Compliant	Consistent with mandatory laws and regulations
Conformance	Consistent with voluntary standards and associated requirements
Scope of the CoC Standard	Clarifies 'scope' relates to topics covered by the CoC Standard
Seafood fraud	Deliberate misrepresentation of seafood products (or ingredients), for financial or economic gain, with the intention of deceiving the customer